













Association of State Dam Safety Officials



Annual Report 2016-2017

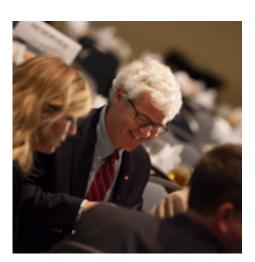












CONTENTS

	INTRODUCTION / PRESIDENT'S STATEMENT	2
•	LEADERSHIP BOARD OF DIRECTORS STATE VOTING REPRESENTATIVES COMMITTEES	3 4 5
	MEMBERSHIP	8
	TRAINING & CONFERENCES	9
	MEASURING PROGRESS	10
•	SUPPORT FOR STATE DAM SAFETY PROGRAMS	12
	OUTREACH AND AWARENESS	14
	PROFESSIONAL AND STUDENT DEVELOPMENT	16
	RECOGNITION	17
	ASDSO RESOURCES	18
	LEGISLATIVE ADVOCACY	19
	THE PATRON PROGRAM	20
	FINANCIAL SUMMARY	22
	STAFF CONTACTS	24
	IN MEMORIAM	25





TO OUR MEMBERS

Lori C. Spragens, Executive Director

This report highlights Fiscal 2017 projects. Please take some time to review the projects completed in 2016-17 highlighted in this report.

This is the final year of our 5-Year Strategic Plan that started in July of 2012. Over the last 5 years, the association has averaged 150 projects per year. And our completion grade is a solid "B." We're very proud of our outreach to dam owners and students. We've held 38 dam owner workshops and were able to award a scholarship every year. Communications was a big element of this plan. The team that created the strategic plan was very astute when it suggested that ASDSO do a communications audit, create a communications plan and provide media training. The training program continues to grow. In the past 5 years, we've trained over 13,000 people at conferences, short courses and webinars. One of our long-standing legislative goals was finally achieved when the dam rehabilitation funding program was passed in 2016. Our primary goal to support state dam safety programs shows in some positive changes. Overall, statistics show the completion of emergency action plans are up by 10% to 79% in 5 years. State budgets have increased slightly.

Fiscally, ASDSO is sound and, in the past 5 years, has purchased property for our headquarters while managing a long-term investment strategy to grow our reserves.

Highlights of this past year would not be complete without calling attention to the "right turn" the staff and leadership took during the weeks following the Oroville Spillway incident in February 2017. Working to assist with communication during the event and the forensic team after was an important alteration to the annual action plan; one to which everyone, from leadership to staff, was whole-heartedly committed.

Your membership in ASDSO enables the association to continue to fulfill our mission. Your dues and donations help to keep everyone connected, implement strong training programs, deliver our much-admired technical journal, shape future leaders through student outreach, advocate for strong laws and policies; the list goes on and on. Thank you! Finally, I want to tell all of you, our members, how glad we are to have you as a part of ASDSO, to support our mission, to advance your career and education, and to gain friendships. It has been a pleasure serving you.



PRESIDENT'S STATEMENT

Dusty Myers, P.E., President

It is my privilege to present to you the Fiscal Year 2017 Annual Report for the Association of State Dam Safety Officials. This report represents the work accomplished by the association from July 1, 2016 to June 30, 2017. I encourage you to take some time to read the full report and learn about all the projects the association has undertaken to improve dam safety this year. One of the biggest accomplishments over this year was the completion of a new strategic plan that will quide the association over the next 5 years: but there are many more. As you read the report you might wonder; how did we accomplish so much? That takes a passionate group of people willing to serve. The association has been fortunate to have such a group in our top-notch executive director, staff, volunteers, donors, members, and others who have made this possible. To all those people who have worked over this year and continue to work to make this association a leader in the dam safety community, I can't say thank you enough.

Our members and our partners who come from state and federal government, other associations, and the private sector are our greatest asset. Through the hard work and dedication of these members and partners we have worked to carry out our mission. If you haven't been active in the association in the past or are looking to re-engage we encourage you to look for opportunities to join us in our mission to "Improve the condition and safety of dams through education, support for state dam safety programs and fostering a unified dam safety community." In closing, I would like to say thank you for everything you do to improve dam safety. And after you finish reading this report come join us on our mission!

LEADERSHIP

Board of Directors

Officers:

President: Dusty Myers, P.E. - MS President-Elect: Jon Garton, P.E. - IA <u>Treasurer</u>: Roger Adams, P.E. – PA Secretary: Hal Van Aller, P.E. - MD Past President: Jim Pawloski, P.E. - MI

Regional Representatives:

Northeast:

Ann Kuzyk, P.E. - CT Roger Adams, P.E. - PA Alon Dominitz, P.E. - NY

Southeast:

Trevor Timberlake, P.E., CFM - AR Brad Cole, P.E. - NC Tom Woosley, P.E. - GA

Midwest:

Ken Smith, P.E. - IN Jim Pawloski, P.E. - MI

West:

Chuck Thompson, P.E. – NM Bill McCormick, P.E., P.G. - CO Nathan Graves, P.E. - WY Yohanes Sugeng, P.E. - OK

Advisory Committee Representatives:

Paul G. Schweiger, P.E. **Advisory Committee Chair** Gannett Fleming, Inc.

Gregory S. Paxson, P.E. Advisory Committee Vice-Chair Schnabel Engineering

Board Members Outgoing, End of Fiscal Year 2016:

Jim Gallagher - New Hampshire Art Sengupta - Florida Shane Cook - North Carolina Charlie Cobb - Alaska Michele Lemieux - Montana

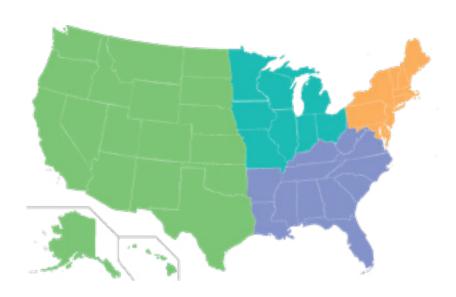
Board Members Outgoing, During Fiscal Year 2017:

Paul Simon - Missouri Tom Woosley — Georgia Trevor Timberlake - Arkansas



Back Row Left to Right: Trevor Timberlake (AR), Brad Cole (NC), Hal Van Aller (MD), Tom Woosley (GA), Bill McCormick (CO), Chuck Thompson (NM), Nathan Graves (WY), Yohanes Sugeng (OK), Ken Smith (IN), Alon Dominitz (NY).

Front Row Left to Right: Lori Spragens (Director), Jim Pawloski (MI), Dusty Myers (MS), Jon Garton (IA), Roger Adams (PA), Ann Kuzyk (CT)



ASDSO Regions: Green - West, Teal / Blue - Midwest, Orange -Northeast, Periwinkle / Purple - Southeast

LEADERSHIP

State Voting Representatives



ALABAMA - J. Brian Atkins, AL Department of Economic and Community Affairs

ALASKA - Charles F. Cobb, AK Department of Natural Resources

ARIZONA - Ravi Murthy, AZ Department of Water Resources

ARKANSAS - Trevor Timberlake, AR Natural Resources Commission

CALIFORNIA - Sharon K. Tapia, CA Department of Water Resources *

COLORADO - Kallie Bauer, CO Division of Water Resources

CONNECTICUT - Arthur P. Christian, II, CT Department of Environmental Protection

DELAWARE - Janice Shute, DE Department of Natural Resources & Environmental Control *

FLORIDA - Arijeet Sengupta, FL Department of Environmental Protection

GEORGIA - D. Thomas Woosley, GA Department of Natural Resources

HAWAII - Edwin Matsuda, HI Department of Land & Natural Resources

IDAHO - John A. Falk, ID Department of Water Resources

ILLINOIS - Paul Mauer, Jr., IL Department of Natural Resources

INDIANA - Kenneth E. Smith, IN Department of Natural Resources

IOWA - Lori McDaniel, IA Department of Natural Resources

KANSAS - Kimberly Hunninghake, KS Department of Agriculture



KENTUCKY - Ron Gruzesky, KY Division of Water

LOUISIANA - Edward Knight, LA Department of Transportation & Development

MAINE - Tony Fletcher, ME Emergency Management Agency

MARYLAND - Harald W. Van Aller, MD Department of the Environment

MASSACHUSETTS - William C. Salomaa, MA Department of Conservation & Recreation

MICHIGAN - Byron Lane, MI Department of Environmental Quality

MINNESOTA - Jason Boyle, MN Department of Natural Resources

MISSISSIPPI - Dusty Myers, MS Department of Environmental Quality

MISSOURI - Ryan Stack, MO Department of Natural Resources

MONTANA - Michele Lemieux, MT Department of Natural Resources & Conservation

NEBRASKA - Tim Gokie, NE Department of Natural Resources

NEVADA - Edmund Quaglieri, NV Division of Water Resources

NEW HAMPSHIRE - James W. Gallagher, NH Department of Environmental Services

NEW JERSEY - John H. Moyle, NJ Department of Environmental Protection

NEW MEXICO - Charles Thompson, NM Office of the State Engineer

NEW YORK - Alon Dominitz, NY Department of Environmental Conservation	TENNESSEE - Lyle Bentley, TN Department of Environment & Conservation
NORTH CAROLINA - William E. Vinson, NC Department of Environmental & Natural Resources	TEXAS - Warren D. Samuelson, TX Commission on Environmental Quality
NORTH DAKOTA - Karen Goff, ND State Water Commission	UTAH - David Marble, Utah Division of Water Rights
OHIO - Rodney Tornes, OH Department of Natural Resources	VERMONT - Benjamin Green, VT Department of Environmental Conservation *
OKLAHOMA - Yohanes Sugeng, OK Water Resources Board	VIRGINIA - David Dowling, VA Department of Conservation
OREGON - Keith Mills, OR Water Resources Department	& Recreation
PENNSYLVANIA - Roger P. Adams, PA Department of Environmental Protection	WASHINGTON - Joe Witczak, WA State Department of Ecology *
PUERTO RICO - José M. Bermúdez Díaz, PR Electric Power Authority *	WEST VIRGINIA - Delbert G. Shriver, WV Department of Environmental Protection
RHODE ISLAND - Paul Guglielmino, RI Department of Environmental Management	WISCONSIN - William Sturtevant, WI Department of Natural Resources
SOUTH CAROLINA - Jill C. Stewart, SC Department of Health & Environmental Control	WYOMING - Nathan Graves, WY State Engineer's Office
SOUTH DAKOTA - Timothy G. Schaal, SD Department of	* New State Representative during FY17
	Environmental Conservation NORTH CAROLINA - William E. Vinson, NC Department of Environmental & Natural Resources NORTH DAKOTA - Karen Goff, ND State Water Commission OHIO - Rodney Tornes, OH Department of Natural Resources OKLAHOMA - Yohanes Sugeng, OK Water Resources Board OREGON - Keith Mills, OR Water Resources Department PENNSYLVANIA - Roger P. Adams, PA Department of Environmental Protection PUERTO RICO - José M. Bermúdez Díaz, PR Electric Power Authority * RHODE ISLAND - Paul Guglielmino, RI Department of Environmental Management SOUTH CAROLINA - Jill C. Stewart, SC Department of Health & Environmental Control

Committee Management

Environment & Natural Resources

During FY17, the ASDSO Board of Directors performed a comprehensive review of ASDSO's committee structure looking at the current ability to engage members and complete projects. First, the Board reviewed committee charters and made alterations to a few standing committees to clarify their missions. Second, the Board worked on the idea of interest groups in lieu of some committees. As a result, the following changes were implemented:

- The Public Safety Around Dams Committee, chaired by Paul Schweiger, was converted from a sub-committee of the Dam Owner Outreach Committee to a standing committee. Its mission is to improve safety around dams, especially low-head dams.
- The Tailings Dam Regulatory Committee, chaired by Charlie Cobb, was formed to lead implementation of tailings
 dam related aspects of the ASDSO Strategic Plan, including the development of guidance for state programs on
 the unique aspects of tailings dam regulation, technical aspects of tailings dam design, operation and closure that
 affect safety, and support state programs that regulate tailings dams.
- The Young Professional Interest Group was formed to engage members under 40 in the dam safety community through ASDSO participation and service. This group, co-chaired by Kate Aulenbach and Greg Richards, will work on special projects and initiatives designed to engage young professionals by connecting them with other young professionals in the field, providing professional development needs, and more.
- As of FY18, the Legislative Advocacy Committee will become an interest group headed by a tier of advisors, including former committee leaders and the ASDSO president, who will create an annual action plan. ASDSO will recruit key contacts to advocate on specific state and federal topics and issues.
- The Levee Safety Committee was put in abeyance. With the advent of the US Levee Safety Coalition, of which ASD-SO is a member, the need for an ASDSO standing committee is reduced.
- The Security and Risk Mitigation for Critical Infrastructure Dams Committee was put in abeyance, as all interested volunteers are already serving on the DHS Dams Sector Coordinating Council. The Board agreed that this still would be a good topic for a new interest group.

Committee Members

* CHAIR ** VICE-CHAIR

Advisory Committee (AdCom) *Paul Schweiger - Gannett Fleming - PA **Greg Paxson - Schnabel - PA Scott Arends - Hanson Professional Services - IL Terry Arnold - AECOM - CO Randy Bass - Schnabel - GA Bill Bingham - PA Bob Bowers- O'Brien & Gere - PA Don Bruce - Geosystems - PA Jack Byers - Byers Group - CO John Cima - Schnabel - VA Bob Dalton - Vasconcelles Engr. Corp. - IL Eric Ditchey - McCormick Taylor - NJ Dave Eichelberger - Christopher B. Burke Engr. - IN Keith Ferguson - HDR Engr. - CO Craig Findlay - Findlay Engr. - ME John France - AECOM - CO Steve Fry - Steve Fry Consulting - WA Joe Kula - ARCADIS - MD Marty McCann - Natl. Performance of Dams Prog. - CA Art Miller - AECOM - PA Carl Montana - CJM Engr. - NJ Karl Myers - Piedmont Geotech. Consultants - GA Mishelle Noble-Blair - Fairfax Water - VA Tony Nokovich - Pennsylvania American Water - PA Jeff Powers - Hazen & Sawyer - GA

John Rutledge - Freese & Nichols - TX Steve Snider - O'Brien & Gere - NY

Jason Thom - DOWL - MT

Ed Tomlinson - Atmospheric Science Consultants - CO

Steve Verigin - GEI - CA

Jim Weldon - Jim Weldon & Assoc. - CO

Annual Conference Program Committee

*John Ritchey - NJ DEP Eric Ditchey - McCormick Taylor - NJ Alon Dominitz - NY DEC John France - AECOM - CO Nathaniel Gee - USBR - NV Garrett Jackson - CO DWR Matt Lindon - Loughlin Water Assoc. - UT Greg Paxson - Schnabel - PA Bill Sturtevant - WI DNR

Annual Awards Committee

*Jim Pawloski - MI DEQ Scott Arends - Hanson Professional Services - IL Jason Campbell - Dynegy - IL Bob Dalton - Vasconcelles Engr. Corp - IL (CONT.) Dennis Dickey - Gannett Fleming - PA Bob Finucane- - VT Jon Garton - IA DNR Rob Martinez - USBR - NV Mishelle Noble-Blair - Fairfax Water - VA Karl Myers - Piedmont Geotech. Consultants - GA Tony Nokovich - Pennsylvania American Water - PA

Committee on Education Outreach (CEO)

*Erin Gleason - Denver Water - CO Nick Agnoli - FERC - NY Jeff Bertel - Reitz Jens - MO Clint Brown - Engr. Analytics - CO Garrett Jackson- CO DWR Jon Keeling - Stantec - KY Matt Marchisello - Aqua Pennsylvania - PA Marty McCann - Natl. Performance of Dams Prog. - CA Bill McCormick - CO DWR Art Miller - AECOM - PA Debora Miller - Miller Geotechnical - CO Carl Montana - CJM Engr. - NJ John Moyle - NJ DEP Peter Nicholson - U. of Hawaii Ken Smith - IN DNR Keith Thole - USACE - MO Bruce Tschantz - U. of Tennessee -**Chair Emeritus**

Constitution & Bylaws Committee

*Charlie Cobb - AK DNR Jon Garton - IA DNR Jim Gallagher - NH DES Glenn Lloyd - MO DNR Brian Long - PA David Marble - UT DWR John Moyle - NJ DEP Elaine Pacheco - Pacheco A&E Consultants - NM Jon Phillippe - J.T. Phillippe, P.E., LS - VA Ken Smith- IN DNR

Council for Safe Dams Executive Committee (CSD)

* Eric Ditchey - McCormick Taylor - NJ Chris Adams - Civil Dynamics - NJ Roger Adams - PA DEP David Burd - Merrill Creek Reservoir - NJ Leo Coakley - Hatch Mott MacDonald - NJ Michael Conway - First Capital Engr. - PA Dennis Dickey - Gannett Fleming - PA Tony Fernandes - PA Tom Fitzgerald - Schnabel - NC Chris Hager - Langan Engr. & Env. Services - PA John Harrison - Schnabel - PA

(CONT.) Rodney Holderbaum - Gannett Fleming -PA Richard Horvath - PA Joe Kula - ARCADIS - MD John Moyle - NJ DEP Keith Pytlik - NJ John Ritchey - NJ DE

Dam Design & Construction Committee

*Jeremy Franz - CO DWR *Joe Monroe - Schnabel - GA Leslie Boyd - Freese & Nichols - TX Charlie Cobb - AK DNR Gary Dale - NY DEC Benjamin Doerge - USDA NRCS - TX Nathan Graves - WY State Engineer's Ofc. Dennis Hanneman - USBR - CO Frank Immel - Global Diving & Salvage -WA Dusty Myers - MS DEQ Mark Ogden - ASDSO - OH Mark Pabst - CO Edward Perez - FERC - OR Jason Thom - DOWL - MT Charles Thompson - NM State Engineer's Jennifer Williams - AECOM - CO

Dam Failures & Incidents Committee (DFIC)

* Mark Baker - Natl. Park Service - CO Irfan Alvi - Alvi Assoc. - MD Jacob Davis - USACE - DC Alon Dominitz - NY DEC Jon Garton - IA DNR Nathaniel Gee - USBR - NV Wayne King - FERC - GA Lee Mauney - BIA - CO Dusty Myers - MS DEQ Mark Ogden - ASDSO - OH Jim Pawloski - MI DEQ Greg Richards - Gannett Fleming - PA Tom Roberts - VA DCR Lee Wooten - GEI - MA

DFIC Technical Advisors Bill Allerton - VA Scott Arends - Hanson Professional Services - IL Clint Brown - Engineering Analytics - CO Larry Caldwell - OK Conservation Commission Bob Clay - MO Steve Durgin - USDA NRCS - DC Wayne Graham - CO Chris Karam - GEI - ME George Kelley - Freese & Nichols - GA John Lessley - S & ME - SC Dan Mahoney - DJM - MD Jon Pittman - Schnabel - NC

(CONT.)

Pat Regan - Framatome ANP DE&S - CA Ryan Schoolmeesters - CO DWR Neil Schwanz - USACE - MN Paul Simon - USACE - MO Peter Spangenberg - CT DEP Lucas Trumble - MI DEQ

DFIC Damfailures.org Subcommittee

*Greg Richards - Gannett Fleming - PA Irfan Alvi - Alvi Assoc. - MD Mark Baker - Natl. Park Service - CO Alon Dominitz - NY DEC Nathaniel Gee - USBR - NV Doug Johnson - FERC - OR Wayne King - FERC - GA Lee Mauney - BIA - CO Tom Roberts - VA DCR David Sykora- Exponent - MA Everett Taylor - Utah DWR Travis Tutka - USACE - IL John Wolfhope - Freese & Nichols - TX

DFIC Database of Failures & Incidents Subcommittee

Scott Arends - Hanson Professional Services - IL Alon Dominitz - NY DEC Steve Durgin - USDA NRCS - DC Doug Johnson - FERC - OR Dusty Myers - MS DEQ

DFIC Decade Dam Failures Team

*Dusty Myers - MS DEQ John Atkins - MS DEQ Nathaniel Gee - USBR - NV Wayne King - FERC - GA Jon Pittman - Schnabel - NC Tom Roberts - VA DCR David Sykora - Exponent - MA Everett Taylor - UT DWR

DFIC Electrical/Mechanical Incidents

*Rick Schultz - USACE - KY Mark Baker - Natl. Park Service - CO Kyle Converse - USBR - CO Mark Ogden - ASDSO - OH

DFIC Feasibility of Establishing a Natl. **Post-Failure Investigation Program Subcommittee**

*Mark Baker - Natl. Park Service - CO Irfan Alvi - Alvi Assoc. - MD Steve Durgin - USDA NRCS - DC Doug Johnson - FERC - OR Wayne King - FERC - GA Lee Mauney - Bureau of Indian Affairs - CO Dusty Myers - MS DEQ Jim Pawloski - MI DEQ Jon Pittman - Schnabel - NC Tom Roberts - VA DCR

(CONT.)

Lucas Trumble - MI DEQ Lee Wooten - GEI - MA

Dam Owner Outreach Committee

*Jason Campbell - Dynegy - IL Richard DeBold - RWD Engr. - ME Dennis Dickey - Gannett Fleming - PA Michele Lemieux - MT DNRC Michele Lemieux - MT DNRC Matt Lindon - Loughlin Water Assoc. - UT George Mills - GEM Consulting - OH John Moyle - NJ DEP Raul Silva - MA DCR Ken Smith - IN DNR Charles Thompson - NM State Engineer's Ofc.

Dam Rehabilitation Funding Committee

*Tom Woosley - GA DNR Brooke Conner - FEMA - CO Jason Ward - CO DWR Karen Goff - ND State Water Commission Michele Lemieux - MT DNRC Bob Bowers - O'BRIEN & GERE - PA Scott Arends - Hanson Professional Services - II

Finance Committee

*Roger Adams - PA DEP Eric Ditchey - McCormick Taylor - NJ John France - AECOM - CO Jim Gallagher - NH DES Jon Garton - IA DNR Matt Lindon - Loughlin Water Assoc. - UT Dusty Myers - MS DEQ Jim Pawloski - MI DEQ Paul Schweiger - Gannett Fleming - PA Hal Van Aller - MD Dept. of Env.

Peer Review Committee

*John Cima - Schnabel - VA Bill Bingham - PA David Gutierrez - GEI - CA Dan Mahoney - DJM - MD Bill McCormick - CO DWR George Mills - GEM Consulting - OH Ken Smith - IN DNR Steve Verigin - GEI - CA

Public Safety Around Dams Committee

*Paul Schweiger - Gannett Fleming - PA Mark Baker - Natl. Park Service - CO Tony Bennett - Ontario Power Gen. -ON. Canada Steven Davidheiser - Gannett Fleming - PA John France - AECOM - CO Matt Lindon - Loughlin Water Assoc. - UT Paul Meeks- Worthington Products - OH Carl Montana - CJM Engr. - NJ John Moyle - NJ DEP Ken Smith - IN DNR

(CONT.)

Bruce Tschantz - U. of Tennessee Kenneth Wright - Wright Water Engrs. - CO

Scholarship Committee

*John Moyle - NJ DEP Roger Adams - PA DEP Jeris Danielson - Danielson & Assoc. - CO George Mills - GEM Consulting - OH Carl Montana - CJM Engr. - NJ Elaine Pacheco - Pacheco A&E Consultants - NM Raul Silva - MA DCR Michelle Yezierski - US Dept. Homeland Security - VA

Tailings Dam Regulatory Committee

*Charlie Cobb - AK DNR Carmen Bernedo - MWH Americas - CO Tim Eaton - Alberta Energy Regulator -AB, Canada Dusty Myers - MS DEQ Paul Opperman - NV DWR Art Sengupta - FL DEP Bryan Ulrich - Knight Piesold - CO Christina Winckler - AECOM - FL

Technical Journal Editorial Committee

*Craig Findlay - Findlay Engr. - ME *Mark Schultz - CA DWR Lyle Bentley - TN DEC Brian Cook - NC DENR Jessie Drayton - AECOM - CA Keith Ferguson - HDR - CO John France - AECOM - CO Jim Gallagher - NH DES Chris Karam - Brookfield Renewable Power - ME Art Miller - AECOM - PA Dusty Myers - MS DEQ Alan Rauch - Stantec - KY Nathan Snorteland - USACE - CO Bill Sturtevant - WI DNR Jim Weldon - Jim Weldon & Assoc - CO Meghann Wygonik Kinkley - USACE - PA

Technical Training Committee

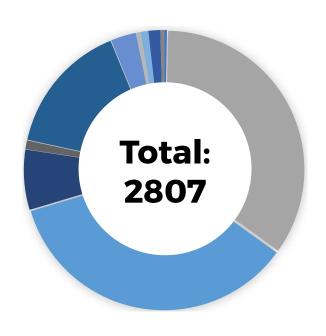
*Dave Marble - UT DWR Tom Woosley - GA DNR Randy Bass - Schnabel - GA Jack Byers - Byers Group - CO Gary Emmanuel - O'Brien & Gere - PA John France - AECOM - CO Jim Gallagher - NH DES Dan Mahoney- DJM - MD Art Miller - AECOM - PA Carl Montana - CJM Engr. - NJ Traci Powell - IN DNR Paul Schweiger - Gannett Fleming - PA Rodney Tornes - OH DNR Preston Wilson - FEMA - DC

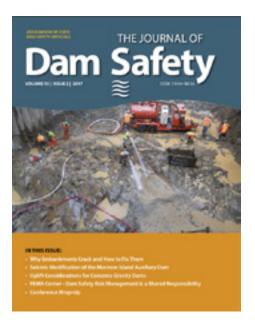
MEMBERSHIP

As of June 30, 2017

Membership Types

Voting Sustaining Member	12
Voting Member	39
Associate/Government Member	975
Company Member	197
Company Employee Member	998
Individual Member	434
Senior Member	83
Honorary Member	29
Sustaining Member	25
Student Member	15
Student Wember	15





Membership Communications

Journal of Dam Safety

- Four Issues Completed
- 3,000+ Readership

E-News Readership

- 2,600 Readership Monthly
- 36% Open Rate
- 25% Click Rate

Training and Education Newsletter

- 10,800 Readership Monthly
- 24% Open Rate Members
- 10% Open Rate Non-Members

TRAINING & CONFERENCES

Increasing the Technical Expertise of Dam Safety Professionals and Dam Owners



Dam Safety 2016

Philadelphia, Pennsylvania Held: September 11-15, 2016

897 **Attendance**

124 Technical

> **Papers** Presented

Southeast Regional Conference

Nashville, Tennessee Held: April 18-20, 2017

283 Attendance

Discover the new hub for ASDSO digital learning opportunities, at the ASDSO Learning Center!

LearningCenter.Dam-Safety.Org

ASDSO's Technical Training Program

11 **Webinars Held**

3559 People Trained

7 Classroom **Courses Held**

222 **People Trained**

3,781

Total People Trained in FY17

Classroom Topics:

Inspection and Assessment of Dams

Conduits, Gates and Valves

Dam Failures Lessons Learned

Emergency Action Planning for Dam and Levee Safety

HEC-HMS

Plans and Specifications Review and Construction Inspections for Dams, Levees and Ancillary Structures

Dam Owner/Operator Training

ASDSO Staff works with individual state dam safety programs to set up workshops as needed.

> **Total Owners** Trained:

> > 254

Courses held in:

Georgia Indiana **Arkansas**

MEASURING PROGRESS

Vision: A future where all dams are safe.

Mission:

Improve the condition and safety of dams through education, support for state programs, and fostering a unified dam safety community.



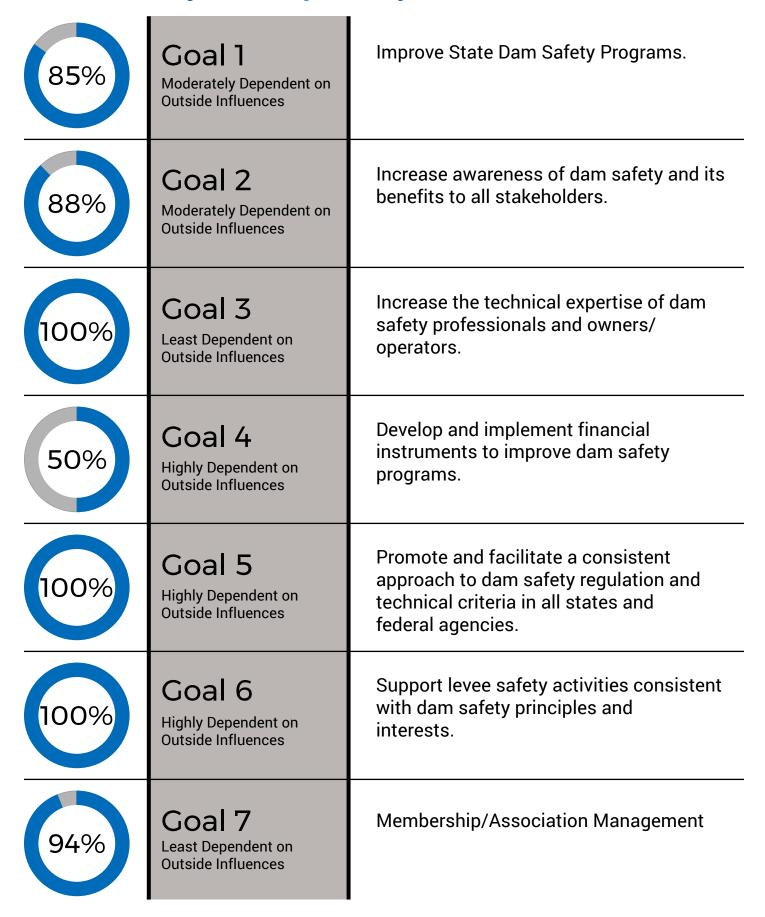
121

projects in Annual Action Plan 111

projects completed or on track 92%

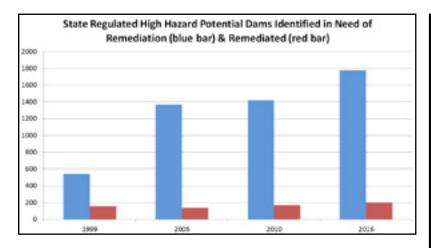
of projects completed

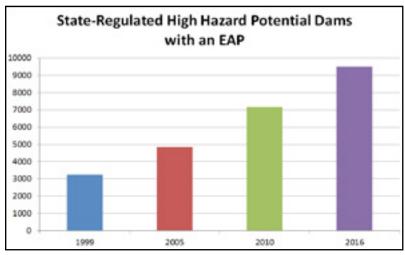
Percent of Projects Completed By Goal



SUPPORT FOR STATE DAM SAFETY PROGRAMS

A lot of work is done behind the scenes to assist state dam safety programs. From posting practical tools and guidance online, to supporting states when policymakers cut budgets and lessen safety standards, to advocating for federal assistance funding, ASDSO volunteers and staff keep strong dam safety programs on the front burner.





State Authorities		State Co	mpliance	
State Authorities	1989	1998	2010	2017
Legislation (5)	64%	73%	85%	86%
Inspection (4)	54%	68%	74%	78%
Enforcement (4)	66%	79%	90%	92%
EAP & Response (4)	51%	62%	72%	77%
Permitting (3)	58%	67%	75%	76%
Education & Training (3)		59%	72%	75%
Public Relations (1)		13%	30%	31%
Weighted Percentage	59%	66%	77%	79%

In this chart, higher percentages indicate greater alignment of state programs with the Model State Dam Safety Program and lower percentages can be indicative of needed improvement in authority. The areas are weighted by importance (listed in order with weighting indicated in parentheses) for the overall percentage.

Performance Monitoring

ASDSO continued to work with the Corps of Engineers and FEMA on performance data collection for state dam safety programs. ASDSO compiled and analyzed the 2016 performance data by state and nationally and used the information in legislative visits, media contacts, and in identifying trends and improvements in state benchmarks. To the left is a snapshot of data trends based on state program input.

Coordination/ Communication with National Advisory Councils and Boards

ASDSO continues to facilitate participation by State Dam Safety leaders.

State Dam Safety Programs represented on the FEMA, National Dam Safety Review Board; the DHS, Dams Sector Coordinating Council; and, the US Levee Safety Coalition.

National Dam Safety Review Board

Peter Goodman, Kentucky Michele Lemieux, Montana Bill Sturtevant, Wisconsin Sharon Tapia, California Darin Shaffer, New Jersey

DHS Dams Sector Coordinating Council

John Moyle, New Jersey
(John Kale – Alternate)
Jim Gallagher, New Hampshire
Trevor Timberlake, Arkansas
Roger Adams, Pennsylvania
(Duke Adams – Alternate)
Toby Vinson, North Carolina
David Gutierrez, California
Lori Spragens, ASDSO
(Mark Ogden – Alternate)

State Surveys and Board Actions

ASDSO routinely performs technical topic surveys of the state programs on behalf of individual states. The ASDSO Board discusses the outcome of each survey compiled. Some surveys are determined to be informational only and are posted to the ASDSO website Resource Center without any action needed. Some survey results show that Board action may be needed.

Use of CentriCast Process for Rehabilitation of CMP Conduits -August 2016

This request for information from Maryland Dam Safety centered on the use of a process called "CentriPipe" to rehabilitate deteriorated corrugated metal pipe. The request was for experiences that states may have had with the process. The Board took no additional action on this survey.

Hydrologic Model Calibration/Uncertainty - August 2016

The Montana Dam Safety Program asked for a survey on how states deal with model calibration and uncertainty for inflow design flood methodology. The survey asked a series of questions on determining basin response parameters and calibration of models. The Board took no additional action on this survey.

Very Low Hazard Classification -October 2016

The Kentucky Dam Safety Program was exploring the possibility of a classification in their regulations of "Very Low Hazard" for dams that are not exempt based on height and storage but have no potential downstream hazard and very little potential for future development. They asked if other states use this type of classification, what they call it, and how it is applied. Several states replied with explanations of similar classifications. The Board took no additional action on this survey.

Hydrology in Karstic Regions -December 2016

New Mexico Dam Safety had a dam with a watershed located in a region with extensive karstic geology and they asked the other states for experiences with surface water hydrology in karstic regions. Unfortunately, there was little available experience from other states. The Board took no additional action on this survey.

Lake Drain Requirements and Variances - February 2017

Oklahoma Dam Safety asked a series of questions of other states on requirements for lake drain devices such as whether the devices are required, if waivers can be granted, and design considerations. The Board took no additional action on this survey.

Release of Information to the Public and Media - February 2017

In the wake of the Oroville Dam incident and multiple requests for information, California Dam Safety asked the states a series of questions on releases of information such as correspondence between dam owners and state dam officials, inspections reports, technical memorandums, statistical information, etc. and whether states have specific codes/regulations requiring or restricting the release of the information. Many states responded to the request and while the Board took no formal action on this survey, ASDSO did pass along the information to the joint task force looking at information sharing (National Dam Safety Review Board and DHS Dams Sector Coordinating Council).

All survey results are posted to DamSafety.Org.

Renewed Focus on Tailings Dams

Many ASDSO members supported a renewed effort to become more involved in tailings dams regulation following the failure at the Mt. Polley Dam in Canada. Alaska State Dam Safety Engineer Charlie Cobb led the charge. Charlie suggested and the Board of Directors approved the formation of ASDSO's Tailings Dam Regulatory Workgroup, which then developed an issue paper on tailings dam safety that led to ASDSO Resolution 1-2016: Support for Tailings Dam Safety. The resolution passed unanimously by the voting state representatives at the 2016 annual business meeting. The resolution endorses state dam safety programs' regulatory authority over tailings dams. In January 2017, Charlie and ASDSO President Dusty Myers participated in a Geoprofessional Business Association Tailings Engineer of Record (EOR) Workshop developed to identify concerns of EOR's for tailings storage facility projects and develop guidance for working on these projects.

Networking Support to States Through:

- Surveys
- · Technical guidance and experiences shared between state reps
- State Mentoring
- Monthly Newsletters

OUTREACH AND AWARENESS

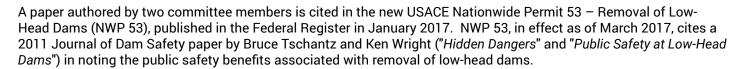
Public Safety Around Dams

ASDSO's new Public Safety Around Dams Committee, chaired by Paul Schweiger, hit the ground running during its inaugural year.

In July 2016, committee member Ken Smith spoke at a meeting in Harrison County, KY, where at least 28 drownings at low-head dams have occurred. Ken and Indiana Silver Jackets representative Manuela Johnson brought along a video crew from Indianapolis PBS station WFYI to capture footage for the Silver Jackets' documentary *Over, Under, Gone - The Killer in Our Rivers*, which premiered in November 2016. In April 2017, the documentary was shown at the 2017 Southeast Regional Conference in Nashville as part of a 90-minute concurrent session on public safety around dams presented by Paul Schweiger and Paul Meeks.

Other committee activities this year include:

- Making presentations at the Homeland Security joint meeting of the Dams Sector councils and to the National Dam Safety Review Board to lobby for a national strategy to address public safety around dams;
- Meeting with National Fire Academy representatives at the FEMA Training Center in Emmitsburg, MD to lobby to include low-head dam rescue training in their training program for first responders;
- · Redesigning ASDSO's Safety Around Dams webpage;
- Tracking public safety incidents around dams;
- · Developing state-level inventories of low-head dams;
- · Writing papers for various publications and meetings;
- · Working with journalists nationwide on stories regarding the dangers of low-head dams; and
- Working with student groups such as Scouts, 4-H, and Future Farmers of America to have safety-at-dams information presented at their meetings.





ASDSO responded to approximately 70 media inquiries during the 2016-17 fiscal year, many in response to the February 2017 Oroville Dam Spillway failure. ASDSO also provided information to the media on other issues, including:

Dam rehabilitation costs – Smithsonian, Scientific American, the Associated Press, Sacramento Bee, The State, NPR, Circle of Blue Water News, Gizmodo, Gatehouse News Service, High Country News, The Trucker, Argus Leader (SD), Comstock's Magazine, Next City, Priest River Times (ID)

Dam conditions - Houston Chronicle, Henderson Gleaner (KY), Daily Sun (WA), KXL-Portland (OR), NW News Network

Emergency action plans – USA Today, The Coloradoan, KFSN-TV

Safety around dams – USA Today, Independent Journal Review, Chicago Tribune, North Jersey News, Reno Gazette-Journal

At least 50 sources cited ASDSO information available through the ASDSO website and other sources in an article but did not contact ASDSO directly.



The Oroville Spillway Crisis Forces Quick Response

In the wake of the Oroville Spillways Incident in February 2017, ASDSO fielded an unprecedented number and variety of media inquiries and implemented the Rapid Response Plan, part of the overall Communications Plan, to quickly coordinate a group of subject matter experts and refine talking points. The media was able to gather the data they needed about dam statistics and interview experts within days of the unfolding incident.

More than 50 feature articles and on-air interviews were catalogued that included data from ASDSO or guotes from ASDSO leaders. The flurry of activity began on Friday, Feb. 10, shortly after the news broke that the Oroville Dam's primary spillway was experiencing severe erosion. Over the course of the following week and into the next, a handful of specially trained members gave interviews. Several interviewees were scrambling to locate and go to broadcast facilities near them.

It became clear that many reporters wanted a personal opinion about what was happening at Oroville or wanted someone to point a finger. The ASDSO focus was on using the incident as an example of why more attention and resources must be focused on dam infrastructure improvement. In the end, several lessons learned were catalogued to help us improve on our communications in the future.

The Forensic Team is Formed

In an unprecedented action to facilitate the timely completion of an independent failure investigation report for the CA DWR, ASDSO and the U.S. Society on Dams (USSD) were called upon to appoint an independent team of experts. This action was ordered by the Federal Energy Regulatory Commission (FERC), which regulates the dam.



Over the course of several weeks in late February/early March, the ASDSO/USSD Task Force identified a number of internationally acclaimed experts to sit on the investigation team. In the end, the joint task force proposed and the state and the FERC approved the following team members:

Geotechnical: John France, P.E. D.GE., D.WRE, JWF Consulting, LLC, Team Leader

Hydraulics: Hank Falvey, Hank T. Falvey & Associates,

Inc. and Colorado State University

Hydraulic Structures: John Trojanowski, P.E.,

Trojanowski Dam Engineering, Ltd.

Operations/Human Factors: Irfan Alvi, P.E., Alvi

Associates, Inc.

Operations: Stephen J. Rigbey, SJR Consulting Engineering Geology: Peter A. Dickson, Ph.D., Stantec

The ASDSO/USSD Task Force included ASDSO President Dusty Myers, Dam Failures and Incidents Committee Chair Mark E. Baker, USSD President (outgoing) John Wolfhope, Dan Wade, Harry Blohm, and Manoshree Sundaram. ASDSO Executive Director Lori Spragens and USSD Executive Director Gene Guilford acted as advisors to and support for the Task Force.

Legislative Scrutiny

On February 28, ASDSO submitted testimony to the Senate Environment and Public Works Committee for its hearing on the nation's flood control infrastructure. The hearing was held just days after the incident began at Oroville Dam. Committee Chairman John Barrasso (R-WY), in his opening remarks said this;

"Recent natural weather events in the last month in California and in other western States are highlighting the need to focus our attention on our levees and our dams. . . Earlier this month, more than 180,000 people were evacuated in California because storms caused serious damage to the Oroville Dam. . . The potential threat of dam failure is a serious concern, a concern to State officials and to people living downstream of Oroville. . . Dams and levees across the Country need to be modernized and maintained if we are to prevent future disasters. So, I believe any infrastructure bill that this Committee develops should consider the need to maintain and modernize these structures."

ASDSO used the opportunity to push for full funding for the newly adopted National Dam Rehabilitation Program, which will become part of the National Dam Safety Program administered by FEMA. Without funding by Congress, it is unlikely that this program will be a high priority within FEMA.

PROFESSIONAL AND STUDENT DEVELOPMENT

Students at Dam Safety 2016

- 20 students attended Dam Safety 2016 in Philadelphia and the Southeast Regional Conference in Nashville.
- Undergraduate Scholarship Winner:
 Cali McMurtrey, Brigham Young University
- ASDSO's Committee on Education Outreach selected the following winners of ASDSO's 2016 Student Paper Competition. These students presented their papers at Dam Safety 2016:

Estimating Ground Motions for Levees Founded Upon Soft Soils
Yi Tyan Tsai, Undergraduate Student, University of California Los
Angeles. Co-authors: Scott J. Brandenberg, Ph.D., P.E., and
Jonathan P. Stewart, Ph.D., P.E., University of California Los
Angeles

South Carolina Flooding and Dam Failures
Emily Reed, Graduate Student, Tennessee Tech University.
Co-authors: Kalie Poston, Lucas Munasque, Alfred Kalyanapu, Ph.D., and Daniel VandenBerge, Ph.D., P.E., Tennessee Tech University



Pictured: Cali McMurtrey with John Moyle, Scholarship Committee Chair, and President Myers



Pictured: Yi Tyan Tsai, Emily Reed and Kalie Poston with John Moyle, President Myers and Past President Jim Pawloski

Young Professionals Interest Group

The Young Professional Interest Group was formed to engage members under 40 in the dam safety community through ASDSO participation and service. This group works to engage young professionals by connecting them with other young professionals in the field, providing professional development needs, and more.

Peer Review

Dam Safety Program Peer Reviews were conducted for the states of Illinois, Maryland, and Oklahoma, and for the Bureau of Reclamation.

Staying Connected on Social Media

Twitter: 989 Followers (162 New Followers) Facebook: 900 Followers (73 New Followers) LinkedIn: 811 Followers (225 New Followers)

RECOGNITION 2016 ASDSO Awards

National Award of Merit





Bruce A. Tschantz, P.E. (Left) Ronald A. Corso (Right)

National Rehabilitation Project of the Year



ASDSO recognized Colorado Parks and Wildlife for the Rehabilitation of Beaver Park Dam. in South Fork, CO. Additional recognition went to Aslan Construction, AECOM, ASI Constructors, the Colorado State Engineer's Office Dam Safety Program, and the Colorado Water Conservation Board.

Pictured (L to R): Bill McCormick, Chief, CO Dam Safety; Bob Broscheid, Director, CO Parks & Wildlife; Patt Dorsey, Southwest Regional Manager, CO Parks & Wildlife; Jonathan Hernandez, Project Manager, CO Water Conservation Board; Del Shannon, Project Manager, ASI Constructors; Douglas Yadon, Project Engineer, AECOM; John Clark, Dam Operations Manager, CO Parks & Wildlife; Wiiliam "Dusty" Myers, ASDSO President and MS DEQ. In the back: ASDSO Awards Committee Chair Jim Pawloski (MI Dam Safety)

Honorary Members - Class of 2016

- Dave Campbell, P.E., Schnabel Engineering
- Lyle Bentley, P.E., Tennessee Department of **Environment & Conservation**
- · Ron Corso. Retired
- · Brian Long, Retired
- Steve McEvoy, P.E., Retired

Regional Awards of Merit



Northeast Regional Award Suez Water New Jersey Pictured: Emad Sidhom



Northeast Regional Award Springfield Water and Sewer Commission Pictured: Bob Stoops



Southeast Regional Award Lyle Bentley, P.E.



Midwest Regional Award Ohio Department of Natural Resources, Division of Engineering Pictured: Jeremy Wenner



West Regional Award John P. Clark, P.E.



West Regional Award Michele Lemieux, P.E.

ASDSO RESOURCES

Updates to the Dam Safety Website

The DamSafety.Org website has been redesigned to be easier to navigate, quicker and more user-friendly. The website is also mobile friendly. ASDSO acknowledges support from the FEMA National Dam Safety Program Cooperative Technical Partnership (CTP) for the development of the new site including the new Learning Center.

The New Learning Center

The Learning Center is now the hub for ASDSO digital learning opportunities including live webinars, on-demand webinars, and downloadable webinars. Our sincere thanks go to James Demby and FEMA National Dam Safety Program for providing the resources and support necessary to launch this new web portal.



336,779Pageviews

73,686Users

The Bibliographic Database

ASDSO continues to add valuable resources to the bibliographic database which holds over 10,000 entries. This includes all ASDSO conference proceedings, references to other organization's papers and proceedings, ongoing and completed research in dam safety, news articles and audio/visual references. In FY18, the online ASDSO Resource Center will be re-vamped to include a user-friendly portal for access to the database.

The Conference and Journal Paper Archive

Through the new Learning Center, members have access to thousands of on-demand ASDSO papers. Soon-to-come - free accessibility to individual papers through the online bibliography.

The On-Demand Webinar Series

About 70 webinars were available through LearningCenter.DamSafety.Org in FY17. Also in FY17, all 50 State Dam Safety Agencies (and Puerto Rico) began receiving free webinar log-ins thanks to the FEMA Cooperative Technical Partnership Program. The effectiveness of this partnership program shows in the state webinar viewership over the last year.

216
Prior to the CTP Viewers in Fy16

498
After CTP Viewers in Fy17

Viewership and therefore training efforts at the state level have more than doubled.

The Dam Failures Lessons Learned Website

DamFailures.Org – an educational site focusing on learning from failures – received a shot-in-the-arm from the FEMA CTP funding. Thanks to the grant, the ASDSO Dam Failures and Incidents Committee formed a new subcommittee to 1) develop a list of historic dam failures for future researched, and 2) coordinate teams of researchers to study these failures and write new case studies for the website. Six new failure case studies and lessons learned were identified to be completed during FY17.



The Dam Owner Website

New learning tools and resources continued to be added to the DamOwner.Org site. During the year, the site got a facelift as did the DamSafety.Org. The Dam Owner Outreach Committee led an effort, still under development at the end of the year, to create a set of dam owner webinars that will be free and easily accessible by all owners.

LEGISLATIVE ADVOCACY



The National Dam Rehabilitation Bill Passes

Almost 20 years of advocacy finally paid off in FY17. In the early morning hours of Saturday, December 10, 2016, Congress passed the Water Infrastructure Improvements for the Nation (WIIN) Act. Included within this legislation was a provision to create a national dam rehabilitation program, which, if appropriated, could provide \$445 million over 10 years to jump-start dam rehab programs across the nation. An unprecedented new initiative, the program is administered through FEMA as part of the National Dam Safety Program and will be coordinated through the State Dam Safety Programs.

ASDSO wishes to thank all of our volunteer advocates for educating lawmakers. And, to our long-time partners at the Government Relations Office of the American Society of Civil Engineers, we are grateful for their tireless work on this initiative.

Afterward passage, the ASDSO advocacy efforts turned to funding as the 115th Congress began. There was some spark of interest when, on May 30, fourteen U.S. Senators wrote to the Senate Appropriations Subcommittee on Homeland Security chair and ranking member to endorse FY18 funding for the National Dam Rehabilitation Program. The letter recommended including \$10 million within the Department of Homeland Security's (of which FEMA is a part) budget for the year that started in October 2017. Both ASDSO and ASCE are cited in the letter as sources of data supporting the need for funding.

ASDSO Works with ASCE on Release of Infrastructure Report Card

The 2017 version of ASCE's Infrastructure Report Card for America's Infrastructure was released during FY17. As part of the report, ASCE considered the condition of dams in America and the funding that is both needed and currently received for rehabilitation. Dams received a grade of D, the same grade issued in the last report in 2013. Over the six months prior to the release of the report card, ASDSO actively contributed by supplying statistics, quotes and facts about the current state of America's Dams. ASDSO worked closely with ASCE to ensure that the public was receiving accurate information and that the need for increased funding for the rehabilitation of high-hazard dams was made clear after the launch. Following the release, ASDSO reps John France and Mark Ogden participated in ASCE's Legislative Fly-In to Washington, DC. ASCE and ASDSO utilized the fly-in as a chance to bring attention to the need for funding and regulation of our nation's dams to legislators.

Alabama

ASDSO continued to partner with ASCE Government Relations and the Alabama ASCE state chapter in working toward a state dam safety program in the state. ASCE hired a public relations consultant to lead the effort in development of strategic communications and coalition building. The effort includes identification of information to support a Safe Dams Program, development of strategic messaging for identified/varied audiences, creation of materials for advocacy efforts, development of necessary tools such as a website, social media, etc. ASDSO participated in the effort by providing multiple documents/information and technical/policy review, and a financial contribution for the consultant. The effort continues into FY 2018 with a long-term strategy to have safe-dams program legislation introduced in the coming years.



Through your past giving, you helped fund a number of programs that are at the heart of the organization's mission. The following projects were funded last year, in part, through the generosity of ASDSO supporters like you:

- Student Outreach, including funding for the Scholarship and Paper Competition programs
- Committee Meetings and Projects
- Public Awareness& Media Relations

THANK YOU TO OUR 2016-17 PATRONS

BENEFACTOR - \$1,000+

Paul Mauer, IL Department of Natural Resources, Morton, IL Worthington Products Inc.

Alton P. Davis, Alton P. Davis, Jr. Engineering Consulting, West Ossipee, NH Robert E. Snow, D'Appolonia Engineering, Pittsburgh, PA

PARTNER - \$300-\$999

HI Department of Land & Natural Resources John W. France, AECOM, Greenwood Village, CO

J. Bruce Pickens, Mount Vernon, OH

Richard J. Tucker, RJ Associates LLC, Westport Island, ME Geokon, Inc.

William B. Bingham, Camp Hill, PA

Mark B. Ogden, Association of State Dam Safety Officials, Columbus, OH

David L. Hinchliff, Port Townsend, WA

Babak Naghavi, Hardesty & Hanover, Metairie, LA

Civil Dynamics, Inc.

Kumar & Associates, Inc.

SUPPORTER LEVEL - \$100-\$299

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Donald L. Basinger, Schnabel Engineering, Greensboro, NC

Nathan Graves, WY State Engineer's Office, Cheyenne, WY

I.M. Idriss, University of California, Davis, Santa Fe, NM

Ronald A. Corso, Vienna, VA

Zahir "Bo" Bolourchi, Baton Rouge, LA

Larry W. Caldwell, OK Conservation Commision, Stillwater, OK

Anthony L. Fernandes, Malvern, PA

Roger P. Adams, PA Department of Environmental Protection, Harrisburg, PA

Robert P. Cannon, Schnabel Engineering, Greensboro, NC

Christopher Cornell, Syblon Reid, Folsom, CA

Richard W. DeBold, RWD Engineering, Wells, ME

Meg Galloway, WI Department of Natural Resources, Madison, WI

Jonathan Garton, IA Department of Natural Resources, Des Moines, IA

Ralph Grismala, ICF International, Hermitage, TN

Mark Knolle, ConeTec, Inc., Marietta, OH

James Pawloski, MI Department of Environmental Quality, Gaylord, MI

Gregory S. Paxson, Schnabel Engineering, West Chester, PA

Ellis B. Pickett, Civil Engineering Hydraulics, Madison, MS

Jeffery G. Powers, Hazen and Sawyer, Atlanta, GA

Warren D. Samuelson, TX Commission on Environmental Quality, Austin, TX

Melvin G. Schaefer, MGS Engineering Consultants, Inc., Olympia, WA

Paul I. Welle, Schnabel Engineering, West Chester, PA

Charles F. Woidt, Woidt Engineering & Consulting, Binghamton, NY

FRIEND - \$1-\$99

Theodore B. Feldsher, AECOM, Oakland, CA Elizabeth E. France, Washington, DC Daniel M. Hill, Columbus, OH Schnabel Engineering

Rodney W. Eisenbraun, RJH Consultants, Inc., Lakewood, CO Nicholas M. Josten, GENTERRA Consultants, Inc., Irvine, CA Edward LaBelle, Crawford, Murphy & Tilly, Inc., Springfield, IL David Marble, Utah Division of Water Rights, Salt Lake City, UT Dusty Myers, MS Department of Environmental Quality, Jackson, MS

David D. Thackeray, USDA - NRCS, Washington, DC Charlie Transue, US Army Corps of Engineers, Tulsa, OK Harald W. Van Aller, MD Department of the Environment, Baltimore, MD

Advanced Marine Services Corp.

Felipe Smith, AL

John E. Andrews, USDA - NRCS, Denver, CO

John W. Dexter, Bellevue, MI

Dwayne E. Lillard, Albuquerque, NM

Janis C. Murphy, Freese & Nichols, Inc., Fort Worth, TX

Chris Ritz, USDA - NRCS, Indianapolis, IN

Konara Kendaragama, Snowy Mountains Engineering Corporation (SMEC), Dingley Village, Victoria

Finley Lloyd, S & ME, Inc., Lexington, NC Ardalan Nikou, AECOM, Honolulu, HI

John Tarr, Prince George's Soil Conservation District, Upper Marlboro, MD

Kevin Aubry, Terracon Consultants Inc., West Palm Beach, FL J. Fletcher Baltz, ARCADIS, Saratoga Springs, NY Edward G. Beadenkopf, Atkins, Alexandria, VA

Sara Bell, Monterey, VA

Stephen Benson, AECOM, Seattle, WA

John Blair, Silt, CO

Gayln Bowers, Cohutta Springs Conference Center, Crandall, GA

Carol Buckles, Terra Mater, Inc., Berkeley, CA

Jack G. Byers, Byers Group LLC, Arvada, CO

Gonzalo Castro, GEI Consultants Inc, Lexington, MA

Sean Chapman, Marine Solutions, Inc., Nicholasville, KY

Christopher Collins, Pawtucket Water Supply Board, Pawtucket, RI

Byron Conrad, Arizona Public Service Company, Phoenix, AZ Bradley Cox, US Army Corps of Engineers, Jacksonville, FL Edward Daly, Conshohocken, PA

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Rob Delsman, Penhall, Grand Prairie, TX

Dennis R. Dickey, Gannett Fleming, Inc., Harrisburg, PA

George L. Eller, Raleigh, NC

Eric Fromherz, Gannett Fleming, Inc., Camp Hill, PA

Bob Gaddis, Future Resources Engineering, LLC, Bartlesville, OK Aimer Garcia, New Jersey Water Supply Authority, Clinton, NJ

Michel Gavillet, Kiewit Corporation, Woodcliff Lk, NJ

Jose D. Guardiario, South Florida Water Management District, West Palm Beach, FL

Mehrzad Hakakzargar, B.C. Hydro, Coquitlam, BC Timothy Haynes, GEI Consultants, Inc., Oakland, CA Paul Hollis, The Reinforced Earth Company, Euless, TX David E. Hook, San Jose, CA

Aled Hughes, Stantec Consulting Services, Inc., Sammamish, WA

H. J. Hughes, DLZ Ohio, Inc., Columbus, OH

William M. Johnstone, Spatial Vision Group Inc., North Vancouver, BC

Mike Kelter, Green Cove Springs, FL

Joseph R. Kula, ARCADIS, Frederick, MD

Amanda Lopez, AECOM, Aurora, CO

Gerard M. Lutticken, Petaluma, CA

Paul Malocha, Stantec Consulting Services, Inc., Ann Arbor, MI

Ray E. Martin, REM, LLC, Ashland, VA

Michael R. May, AECOM, Greenwood Village, CO

Daniel Mensah, US Army Corps of Engineers, Baltimore, MD

John W. Myers, Wisconsin Public Service, Green Bay, WI

Larry K. Nuss. Nuss Engineering, LLC, Highlands Ranch, CO

Jimmy S. O'Brien, FLO-2D Software, Inc., Nutrioso, AZ

Peter W. O'Hara, Engineering Consulting Services, Ltd.,

Fredericksburg, VA

Kimberly Pate, Seattle City Light, Seattle, WA

John G. Plisich, Federal Emergency Management Agency, Atlanta, GA

Keith A. Pytlik, Township Of Washington, NJ

Debra S. Rankin, Austin, TX

Michael Rankin, Water Training Australia, Torrumbarry, Victoria

Steven J. Riedy, Tetra Tech, New Albany, OH

Robert P. Ringholz, Fugro Consultants, Inc., Houston, TX

William A. Rochford, US Army Corps of Engineers, Chicago, IL

Greg Rollins, MWH Americas, Inc., Portland, OR

Timothy E. Saylor, Lancaster, PA

Larry Schoessler, Bureau of Reclamation, Billings, MT

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Kenneth See, US Nuclear Regulatory Commission, Rockville, MD

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David E. Tapia, Compañia Minera Antamina S.A. Lima.

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Daniel Verret, Hydro Quebec, Montreal, QC

Michael W. Viessman, US Army Corps of Engineers,

Jacksonville, FL

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Greg Yankey, HDR Engineering, Inc., Lexington, KY

Thomas F. Zimmie, Rensselaer Polytechnic Institute, Troy, NY

Nicholson Construction Company.

Fuss & O'Neill, Inc.,

Dungan Engineering, P.A.

JFR Engineering, PLLC

Lemke Industrial Machine LLC

Yogi Kwong Engineers LLC

Ross Brown, Association of State Dam Safety Officials,

Lexington, KY

Michael Guido, RIZZO Associates, Pittsburgh, PA

Civil & Environmental Consultants, Inc.

Ransford Addei, KCI Techologies, Inc., Laurel, MD

Ronald Herazo, Drexel University, Philadelphia, PA

FINANCIAL SUMMARY

2016-17 Financial **Detail**

In June of 2016, the Board of Directors approved a Fiscal Year 2017 budget to carry out the 2016-17 Action Plan. The fiscal year runs from July 1 to June 30. The budget totaled \$2.15 million to carry out projects and objectives. At the end of the year, the Action Plan was completed within budget, with an excess revenues over expenditures of approximately \$10,000.

ASDSO engages with an outside accounting auditor annually to review accounting practices, create the balance sheet and final statement of financial position, and make recommendations to the Board on financial management procedures. The accountant creates annual 990s and 990-Ts, which are required IRS forms that 501(c)(3) organizations, such as ASDSO, submit. Members may request copies of the 990s by contacting ASDSO.



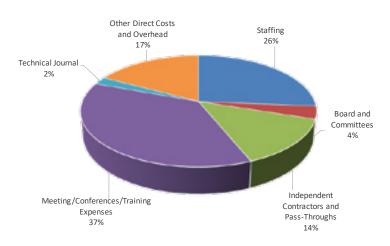
2016-17 Statement of Financial Position		
ASSETS Current assets: Cash and cash equivalents Accounts receivable Prepaid expenses Contracts/grant receivable	\$130,756 \$12,945 \$177,530 \$115,032	
Total Current Assets	\$436,263	
Property and equipment: Land Building and improvements Furniture and equipment Less accumulated depreciation Total property and equipment	\$150,000 \$991,331 \$232,875 (\$232,540) 1,141,666	
Intangible assets:		
Loan origination fees Less accumulated amortization	\$6,000 (\$1,850)	
Total intangible assets	\$4,150	
Investments	\$650,993	
TOTAL ASSETS	\$2,233,072	
Current liabilities: Accounts payable Accrued employee benefits Accrued interest Contract payment received in advance (Corps of Engineers) Registration fees received in advance Income taxes payable Deferred dues Income received in advance Mortgage payable	\$88,573 \$81,276 \$1,069 \$7,583 \$264,560 \$2,525 \$150,025 \$23,465 \$24,779	
Total current liabilities	\$643,855	
Long term liabilities: Mortgage payable Total liabilities	\$563,167	
Net Assets:	\$1,026,050	

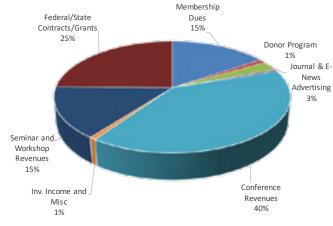
TOTAL LIABILITIES AND NET ASSETS

\$2,233,072

Summary of Expenses for 2016-2017

Summary of Revenures for 2016-2017





Revenue

This is a summary of the revenues for 2016-17. Line items include dues; income from journal, e-news and industry directory advertising sales and bookstore income; registration fees, investment income and grants and contracts with federal or state agencies which support training and peer review activities.

Revenues		
	Budget	Actuals
Dues	\$343,453.00	\$325,784.28
Marketing & Sales Income	\$123,000.00	\$69,056.63
Conferences and Training	\$1,246,975.00	\$1,127,245.69
Contracts and Grants	\$570,390.00	\$519,706.01
Investments Dividends	\$18,000.00	\$16,320.68
Donations	\$30,000.00	\$22,173.15
Regionals Conference		
Support Fees	\$15,000.00	\$15,000.00
Misc.	\$500.00	-\$256.44
TOTAL	\$2,347,318.00	\$2,095,030.00

Expenses

This is a summary of the expenses for 2016-17. Line items include total staff, outside contracting support including the cost of trainers for the training program, committee support (including meetings, development of documents and scholarships), meeting costs, the quarterly journal and other direct costs, which include all headquarters operational expenses, printing, membership communications and branding/marketing.

Expenditures		
	Budget	Actuals
General Admin Labor, Fringes, Taxes General Admin Travel	\$470,164.69	\$403,695.07
& Board / Cmte. Cost General Admin Direct	\$101,950.00	\$76,814.42
Costs & Contracting	\$235,291.00	\$198,306.44
General Admin Overhead Conferences & Training	\$202,232.60	\$210,478.09
Costs Contracts & Grants Labor,	\$813,700.00	\$762,182.94
Fringes, Taxes Contracts & Grants All	\$109,795.31	\$145,311.83
Other Costs	\$391,921.00	\$287,615.39
TOTAL	\$2,325,054.60	\$2,084,404.18



Executive Director, Lori C. Spragens 859-550-2788 ext:4 lspragens@damsafety.org



Training Director, Susan A. Sorrell 859-550-2788 ext:2 sasorrell@damsafety.org



Office Manager and Training Support, Jennifer Burns 859-550-2788 ext:1 jburns@damsafety.org



Membership and Marketing Director, Ross Brown 859-550-2788 ext:5 rbrown@damsafety.org



Communications Manager, Katelyn Riley 859-550-2788 ext:7 kriley@damsafety.org * Hired in February 2017



Information and Resource Manager, Sarah McCubbin-Cain 859-550-2788 ext:3 smc@damsafety.org



Technical Specialist, Mark Ogden 859-330-3650 mogden@damsafety.org



Office Support, Brittany Lewis 859-550-2788 ext: 6 blewis@damsafety.org

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DamSafety.org









IN MEMORIAM



Bruce Tschantz

Bruce served as a dam safety consultant to the Executive Office of the President during the Carter Administration, developing and coordinating policies and programs for federal and non-federal dam safety. He established FEMA's Office of Federal Dam Safety, serving as the first Chief of Federal Dam Safety in 1980, and also served on the National Academy of Sciences National Research Council Committee on Dam Safety. Bruce was an Honorary Member of ASDSO and an ASCE Fellow. In 2016, Bruce was honored with ASDSO's National Award of Merit. He was passionate about recruiting and educating the next generation of dam safety professionals, and chaired ASDSO's Committee on Education Outreach from its inception in 2004 to September 2010. He also worked tirelessly to raise awareness of safety hazards at dam sites and was instrumental in the creation of ASDSO's Public Safety Around Dams Committee.



Delbert Downing

Delbert Downing, who passed in January 2017, was one of ASDSO's founding members. Mr. Downing was on the ASDSO's Organizing Committee and ASDSO's First Board of Directors. He represented the state of New Hampshire.



Thomas A. Kelly

Thomas A. Kelly died in January 2017. Tom was a vital part of ASDSO for more than 33 years, contributing his technical expertise and experience as a dam owner to ASDSO's Peer Review Program, under which he participated in reviews of 12 state programs and programs within the U.S. Department of Interior and the U.S. Mine Safety and Health Administration. A founding member of ASDSO's Affiliate Member Advisory Committee, Mr. Kelly was named an ASDSO Honorary Member in 2002. As senior civil engineer for Southern California Edison Company, Mr. Kelly was responsible for dam safety and performance evaluation of 33 company-owned dams and related facilities.



Pat Diederich

Former ASDSO board member Pat Diederich passed away unexpectedly in April 2017, just a few days before his 53rd birthday. Pat was dedicated to the dam safety community and served a vital role in improving dams in his home state of Nebraska, where he served as the Chief of the Dam Safety Program.



Steve Poulos

Dr. Steve J. Poulos, geotechnical engineer and co-founder of GEI Consultants, Inc., passed away in October 2016. A native of Burlington, VT, Steve received a BS in civil engineering, an MS in soil mechanics and hydraulics from the Massachusetts Institute of Technology, and a PhD in soil mechanics from Harvard. Steve taught several ASDSO technical seminars on stability of embankment dams and presented at ASDSO conferences. He authored or co-authored more than 25 technical papers, and, with colleague Ron Hirschfeld, co-edited the book Embankment Dam Engineering, a tribute to Arthur Casagrande.



David Dowling

David Dowling, Deputy Director of Soil and Water Conservation, Dam Safety and Floodplain Management with the Virginia Department of Conservation and Recreation, passed on Monday, October 30th after a battle with multiple myeloma. David's career with the Department of Conservation and Recreation spanned 18 years. David was the ASDSO Virginia state representative.



Association of State Dam Safety Officials

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